2019
ANNUAL CAMPUS SECURITY AND FIRE SAFETY REPORT
Wartburg College

Campus Security Emergency
319-352-9999
Campus Security Services
319-352-8372
Waverly Police, Fire, Ambulance
9-911 (campus phones only)
Email
campus.security@wartburg.edu
Introduction

The Wartburg College Annual Campus Security and Fire Safety Report is posted online for anyone’s review, especially for students, prospective students, employees, prospective employees, parents, and contractors working for the college. The report can be read at http://info.wartburg.edu/Portals/0/Security/securityreport.pdf. Paper copies may be obtained at the Wartburg College Campus Security Office in Room 156 of Saemann Student Center or by calling 319-352-8372 to request a mailed copy.

Wartburg College publishes this report in accordance with the Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act, 20 U.S.C. § 1092(f), which requires all colleges and universities participating in federal financial aid programs to maintain and disclose campus crime statistics and security information. Campus crime, arrest, and referral statistics include those reported to Wartburg College Campus Security, designated campus officials, and law enforcement agencies. This report also includes information required by Title IX of the Education Amendments Act of 1972 and the Violence Against Women Act of 1994 as amended.

Additionally, Wartburg College publishes its Annual Fire Safety Report, incorporated in this report, pursuant to the provision of the Higher Education Opportunity Act pertaining to campus fire safety information and statistics 20 U.S.C. § 1092(i), which includes required fire statistics associated with each on-campus student housing facility, including the number of fires, causes, and any injuries, deaths, and property damage. This report is prepared in cooperation with Residential Life and the Waverly Police Department. With specific questions regarding these annual reports, please call the Wartburg College Campus Security Office at 319-352-8372.

Wartburg College and the Waverly community are relatively safe locations to live, work, and learn. However, the potential always exists for crime and accidents. Proactive measures can minimize crime and enhance security and safety for the entire college community: students, faculty, staff, alumni, and visitors. Safety and crime prevention require support and cooperation from the entire college community. No law enforcement/security organization or set of procedures can be effective unless individuals exercise reasonable care and prudence. All must take responsibility for the safety and security of themselves, others, and their belongings. There is a real potential for loss or theft of belongings that are not appropriately safeguarded. Anyone who observes suspicious activity is encouraged to contact Campus Security immediately. Anyone who has knowledge of present, future, or recent criminal activity should report it to Campus Security or local law enforcement.

Wartburg College assumes no responsibility for theft, damages, or loss of money, valuables, or personal property.

We strongly recommend students check with their family regarding the existence and level of coverage under their homeowners/renters insurance.

Campus Security includes a director, four full-time security officers, and nine part-time security officers. The director reports to the Vice President for Student Life/Dean of Students for matters of security, parking and safety. Security officers are not armed. With the exception of the director, all security officers wear a distinctive uniform. They provide basic security-related services to the college community. At least one security officer is on duty at all times: 24 hours a day, all year long. Officers are in regular radio contact with the Information Center in Saemann Student Center. They are also accessible by radio by calling 9999 (on-campus network phone) or (319) 352-9999 (non-campus phone, cell phone). Security officers receive training such as first aid, AED use, and CPR.

Campus Security Services

GENERAL DUTIES AND SERVICES

- Patrols campus on foot and by vehicle. Patrols include parking lots, grounds, athletic fields, exterior of residence halls, lounges and common areas of residence halls, and interior and exterior of other buildings.
- Takes reports of crimes. Performs investigations within limits of authority.
- Maintains a daily log for administrative purposes and a crime log for public inspection in the Campus Security Office. The log includes the violation or incident, date, time, general location, generic summary of what was reported, and disposition, if known. (NOTE: If there is clear and convincing evidence that the release of such information would jeopardize an ongoing investigation or the safety of an individual, cause a suspect to flee or evade detection, or result in the destruction of evidence, such information may be withheld until at such time damage is no longer likely.) As a matter of policy, names are not included in the log.
- Locks and unlocks campus buildings.
- Checks mechanical systems in buildings, including checks for carbon monoxide.
- Responds to medical situations and contacts emergency medical responders when necessary.
- Monitors surveillance cameras.
- Responds to fire alarms.
- Enforces parking and student handbook regulations.
- Provides security escorts as needed.
- Assists area coordinators, RAs, and other college officials.
OTHER SERVICES

- Room lockouts when RAs are not on duty.
- Battery jump-starts for college-registered vehicles.
- On-campus security escorts during hours of darkness.
- Routine transport on campus for those with physical limitations.
- Non-emergency transports to medical/dental facilities within Waverly city limits.
- Perform exposure control clean-ups (blood-borne pathogens) when custodial services are not available.
- Maintains lost and found repository.

PERSONAL SECURITY RECOMMENDATIONS

- Do not walk alone during the hours of darkness. Use lighted skywalks.
- If you must walk alone, inform someone at your destination when to expect you.
- Utilize an escort offered by Campus Security during the hours of darkness.
- If you are attacked, do anything to bring public attention to your situation: scream, bite, kick, and stomp the attacker’s foot with your heel.
- Keep items of value out of sight.
- Safeguard documents containing personal information others could use to commit identity theft.
- Lock your residence hall door when you are asleep or when the room is not occupied.
- Report suspicious activity promptly.
- Never prop open residence hall exterior doors.
- Never loan your ID card or room key to others.
- Mark items of value with an identifying number or symbol. Record serial numbers. Photograph items of value. Never use your social security number as an identifying number.
- Register your bicycle and lock it to a campus bike rack or keep it in your room when not in use.
- If confronted with a serious, life-threatening situation, in addition to calling 911 and Campus Security, take one of the following courses of action:
  1. Remove yourself from the threat; run or escape from the threat.
  2. Shelter in place. Lock yourself in a room, turn off lights, pull shades, remain quiet, and quietly place obstacles by doors and windows to make intruder access more difficult.
  3. If cornered or cannot otherwise escape, attack the intruder with whatever items you use as a weapon or obstacle. Throw things, attack the person, screaming, and doing anything possible to throw off the attacker.

Statements of Policies and Procedures

ACCESS POLICY AND IDENTIFICATION OF PROPERTY

Wartburg College is a private institution located on private property. Campus facilities are for the use of Wartburg College community members and their guests. It is considered an open campus, meaning there are no boundary fences or barricades restricting access. Visitors are welcome on campus for lawful reasons, such as to attend events, visit members of the campus community, and, if contractors, to perform work directed by the college. Certain restrictions apply. After routine business hours, academic and administrative buildings are closed on a published schedule. Access after hours or when a building is locked is limited to persons assigned to that building or area with after-hours access or with permission, preferably in writing, from someone authorized to give such permission. College maintenance employees have ID cards for residence hall access. Physical Plant will coordinate contractor access, and contractors will be monitored when the building is occupied by students.

Wartburg College has 22 named buildings classified as residence halls, each housing between 24 and 365 students. Exterior doors to all residence halls, except for Knights Village, are always locked. Access is governed by college identity cards (proximity readers). Visitors are allowed access only when accompanied by persons with authorized access. Knights Village (Saginaw, Mendota, St. Sebald, Dubuque, and Galena) are apartment-style housing, and their residents control access with keys.

During certain breaks, the summer months, and special events, the residence halls may close. Express permission must be obtained by Residence Life to remain in residence halls during all breaks, except for Fall Break and Thanksgiving Break.

Solicitation is prohibited on Wartburg College property unless prior approval has been granted by either the Office of the Vice President for Student Life or the Office of the Vice President for Finance and Administration.

The main campus of Wartburg College is located in Waverly, Iowa. The south boundary is West Bremer Avenue. The east boundary is Wartburg Boulevard from West Bremer Avenue to Seventh Street NW and Seventh Street NW to Fifth Avenue NW. The west boundary is 12th Street NW. (Note: Physical Plant, four parking lots, and athletic fields are west of 12th Street.) The north boundary of the main campus is the railroad spur between Fifth Avenue NW and Seventh Avenue NW. Public streets running through or on the boundary include First Avenue NW, 11th Street NW, Third Avenue NW, Eighth Street NW, and Fourth Avenue NW. A map showing these boundaries is available at the Campus Security Office.
Wartburg College leases two floors of Kimberly Apartments for housing students participating in the Urban Studies Program at Wartburg West in Denver, Colorado. This facility is located at 777 14th Street with portions of 14th Avenue and Clarkson Street bordering the property.

Wartburg College rents apartments at 2701 SW 23rd Street, Des Moines, Iowa, to house students participating in the Des Moines Urban Studies Program.

Disclosure of Crime Statistics

Campus Security prepares this report to comply with the Jeanne Clery Disclosure of Campus Security Policy and Crime Statistics Act (Clery Act) (20 USC § 1092) and the Higher Education Act amendments. The definitions for these crimes are taken from the FBI’s Uniform Crime Reporting Program (UCR), as modified by the Hate Crimes Act and the Higher Education Act.

CRIME DEFINITIONS

- Criminal Homicide: the willful killing of one person by another.
- Manslaughter by Negligence: The killing of another person through gross negligence.
- Sexual Assault: An offense that meets the definition of rape, fondling, incest, or statutory rape as used in the FBI’s UCR Program.
  a. Forcible Rape: Penetration, no matter how slight, of the vagina or anus with any body part or object, or oral penetration by a sex organ of another person, without the consent of the victim. This definition includes any gender of victim or perpetrator. It also includes instances in which the victim is incapable of giving consent because of temporary or permanent mental or physical incapacity (including due to the influence of drugs or alcohol). Physical resistance is not required on the part of the victim to demonstrate lack of consent.
  b. Forcible Sodomy: Oral or anal sexual intercourse with another person, forcibly and/or against that person’s will; or not forcibly or against the person’s will where the victim is incapable of giving consent because of his/her youth or because of his/her temporary or permanent mental or physical incapacity.
  c. Forcible Fondling: The touching of the private body parts of another person for the purpose of sexual gratification, forcibly and/or not forcibly or against the person’s will where the victim is incapable of giving consent because of his/her youth or because of his/her temporary or permanent mental incapacity.
  d. Non-forcible sex offenses are acts of “unlawful, non-forcible sexual intercourse,” and include:
    - Incest: Non-forcible sexual intercourse between persons who are related to each other within the degrees wherein marriage is prohibited by law (ancestor, descendant, brother or sister of whole or half blood, aunt, uncle, nephew, or niece).
    - Statutory Rape: Non-forcible sexual intercourse with a person who is under the statutory age of consent (16 years).
  - Aggravated Assault: An unlawful attack by one person upon another for the purpose of inflicting severe or aggravated bodily injury. Offense usually accompanied by a weapon or by means likely to produce great bodily harm.
  - Burglary: Unlawful entry into a structure with intent to commit a felony or theft. Forced entry not required if entry was unlawful, such as a trespass.
  - Robbery: Taking or attempting to take anything of value from a person by force or threat of force putting the victim in fear.
  - Motor Vehicle Theft: The theft or attempted theft of a motor vehicle.
  - Arson: Any willful or malicious burning or attempt to burn, with or without intent to defraud, a dwelling house, public building, motor vehicle, aircraft, personal property of another, etc.
  - Domestic Violence: A felony or misdemeanor crime of violence committed by a current or former spouse or intimate partner of the victim, a person with whom the victim shares a child in common, a person who is cohabitating with or has cohabitated with the victim as a spouse or intimate partner, a person similarly situated to a spouse of the victim under the domestic or family violence laws of the jurisdiction receiving grant monies (under VAWA), or any other person against an adult or youth victim who is protected from that person’s acts under the domestic or family violence laws of the jurisdiction.
  - Dating Violence: Violence committed by a person who is or has been in a social relationship of a romantic or intimate nature with the victim. The existence of such a relationship shall be determined based on the reporting party’s statement and with consideration of the length of the relationship, the type of relationship, and the frequency of interaction between the persons involved in the relationship. Dating violence includes, but is not limited to, sexual or physical abuse or the threat of such abuse. Dating violence does not include acts covered under the definition of domestic violence.
  - Stalking: Engaging in a course of conduct directed at a specific person that would cause a reasonable person to fear for his or her safety or the safety of others, or suffer substantial emotional stress.
  - Alcohol Violations: Violations of law or ordinances prohibiting;
a. Possession or use of alcohol by a person under the age of 21.

b. Providing alcohol to a person under the age of 21.

c. Sale of alcohol without the required license.

• Drug Violations: Illegal possession, manufacturing, growth, sale, distribution, or use of any controlled substance and the equipment or devices utilized in their preparation and/or use.

• Weapons Violations: Violation of laws or ordinances prohibiting the manufacture, sale, purchase, transportation, possession, concealment, or use of firearms, cutting instruments, explosives, incendiary devices, or other deadly weapons.

• Hate Crimes: Crime categories of criminal homicide, sexual offenses, robbery, aggravated assault, burglary, motor vehicle theft, arson, larceny-theft, intimidation, vandalism (including destruction and/or damage to property), simple assault, and any other crime involving bodily injury are hate crimes if evidence is discovered that the victim was intentionally targeted, in whole or in part, because of the perpetrator’s bias. Bias categories are:
  • Race
  • Gender
  • Gender identity — A person’s internal sense of being male, female, or a combination of both; that internal sense of a person’s gender may be different from the person’s gender as assigned by birth. Gender identity bias is a preformed negative opinion or attitude toward a person or group of person based on their actual or perceived gender identity. There are two types of gender identity bias motivation:
    1. Gender non-conforming: Describes a person who does not conform to the gender-based expectations of society, e.g., a woman dressed in traditionally male clothing, or a man wearing makeup.
    2. Transgender: Of or relating to a person who identifies as a different gender from their gender as assigned at birth. Note: the person may also identify him or herself as “transsexual.”
  • Sexual orientation
  • Disability (physical or mental)
  • Religion
  • National origin (ethnicity)

**Campus Security Authority and Jurisdiction**

The administrative office responsible for safety and security is Wartburg College Campus Security. Campus Security officers have the authority to ask persons for identification and to determine whether individuals have lawful business on campus. Officers have the authority to issue parking fines for violations of the college’s parking regulations and to bill students’ financial accounts as necessary. They may also authorize towing (at the expense of the vehicle’s owner) or placement of an immobilizer (wheel boot) on a vehicle in violation of parking regulations. Campus Security officers are not police officers and do not possess power to arrest. Criminal incidents may be referred to the Waverly Police, who have jurisdiction on the campus. All victims and witnesses are strongly encouraged to report crimes immediately to Campus Security and the appropriate police agency. Prompt reporting will assure timely warning notices on campus and timely disclosure of crime statistics.

**CRIME LOG: RECORDING CRIMES REPORTED TO CAMPUS SECURITY**

**To Report A Crime**

To report a crime, such as a theft, vandalism, or suspicious activity, contact either Campus Security or the Waverly Police Department.

**Campus Security:** (319) 352-8372, (319) 352-9999 or, from a campus phone, just 9999. The number 9999 reaches Campus Security via radio, so any communication by this mode may be monitored by others.

**Waverly Police:** (319) 352-5400 or 911 (from a campus phone, dial 9-911). The dispatchers cover all emergency calls in Waverly and Bremer County.

**Emergency phones** are in parking lots (A & B, C, D, O, N and E lots) and select entrances to residence halls (Grossmann Hall, Centennial Hall, Knights Village, Clinton Hall, and Founders Hall).

**Crimes also can be reported to:**

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<td>Director of Residence Life</td>
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<td>Title IX Coordinator</td>
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<td>VP for Finance &amp; Admin.</td>
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<td>Luther Hall, room 203</td>
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**CAMPUS SECURITY AUTHORITIES**

Other campus officials you may report a crime to include: deans, hall directors, resident assistants (RAs), advisers to student groups, International Programs director, Study Abroad program director, Information Center attendants, Campus Programming director, event security staff, and athletic coaches. These officials are trained as Campus Security Authorities.
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Confidential Reporting Procedures

If you are the victim of a crime and do not want to pursue action within the college or the criminal justice system, you may still want to consider making a confidential report. With your permission, the Director of Campus Security & Safety can file a report on the details of the incident without revealing your identity. The purpose of the confidential report is to comply with your wish to keep the matter confidential, while taking steps to ensure the future safety of yourself and others.

With such information, Campus Security can keep accurate records of the number of incidents involving students; determine where there is a pattern of crime with regard to a particular location, method, or assailant; and alert the campus community to potential danger. Reports filed in this manner are counted and disclosed in the annual crimes statistics for the college.

You may also make a confidential report through “GetHelpNow,” an anonymous reporting system that allows the reporter to keep his or her identity confidential if desired. Reports are made by texting to 50911 and including the name “GetHelpNow” in the subject or message or through an online form at www.wartburg.edu/GetHelpNow. Depending on the nature of the report, Campus Security will investigate or refer the matter to the appropriate college department or to law enforcement.

Counselors

Because of the negotiated rulemaking process which followed the signing into law the 1998 amendments to 20 USC § 1092 (f), clarification was given to those considered to be campus security authorities. Campus “pastoral counselors” (employee of an institution who is associated with a religious order or denomination, recognized by that religious order or denomination as someone who provides confidential counseling and who is functioning within the scope of that recognition as a pastoral counselor) and campus “professional counselors” (employee of an institution whose official responsibilities include providing psychological counseling to members of the institution’s community and who is functioning within the scope of his or her license or certification), when acting as such, are not considered to be a campus security authority and are not required to report crimes for inclusion into the annual disclosure of crime statistics. As a matter of policy, they are encouraged, if and when they deem it appropriate, to inform persons being counseled of the procedures to report crimes on a voluntary basis for inclusion into the annual crime statistics.

Emergency Notification and Timely Warnings Emergency Procedures

Wartburg College will, upon confirmation that a significant emergency or dangerous situation exists, take into account the safety of the campus community, determine what information to release about the situation, and begin the notification process.

An alert will not be issued, if in the professional judgement of responsible authorities (security director, vice presidents, president), the alert will compromise efforts to assist a victim or to contain, respond to or otherwise mitigate the emergency.

Wartburg College maintains an emergency management plan for sensitive or dangerous situations. Examples include, but are not limited to, fires, explosions, natural disasters, serious illness outbreaks, gas leaks, chemical or hazardous waste spills, bomb threats, civil unrest and/or rioting, and violent criminal (armed intruder, terrorist) events. When a significant incident is discovered or reported, Campus Security immediately will investigate the situation to confirm there is a significant emergency that is causing or could cause a threat to the safety and health of students and/or employees. Law enforcement and other emergency management organizations, as applicable, will be called immediately.

Campus Security will then contact the president or the most available vice president. An emergency will be declared and the college Emergency Incident Command will be established to resolve the situation and support any non-campus organizations called in to resolve the situation.

The security director, or in his absence, the senior security officer on duty, will initiate a WartburgAlert message, or for those that don’t need immediate notification, contact the Marketing & Communication Office to issue a Juice announcement.

WartburgAlert

Wartburg College maintains a campuswide mass communications system (Omnilert) that warns students, faculty, and staff of an emergency situation by cell and landline phones, text messaging, and email. To register contact information, all currently enrolled students and active employees can log into https://info.wartburg.edu/: select “Offices,” then “Safety and Security,” and “WartburgAlert” and follow the instructions. Students and employees may add additional email addresses and phone numbers, including text, to maximize contact. Other notification procedures, such as loudspeakers and messengers, may be made as time and personnel are available.

Only when the situation could compromise efforts to assist victims or to contain, respond to, or otherwise mitigate the
emergency will such a notification be deferred. Action will be taken immediately to isolate the affected area, remove those in the affected area, and stabilize the area pending arrival of emergency response organizations. Based on the specific situation, Marketing & Communication may make media releases to inform the surrounding community. If law enforcement or emergency management has taken control of the situation, they will make their necessary media releases. When Incident Command determines the emergency has ended and it is safe to return to campus or resume regular activities, another WartburgAlert notice will be made. Depending on the duration of an emergency, interim notices via WartburgAlert and media press releases will be given as information is received. WartburgAlert will be tested once at the beginning of each term. Scheduled but unannounced evacuation drills are conducted in each residence hall early in Fall Term.

Timely Warnings

Timely warnings are issued after a Clery Act crime or other threat against persons or property occurring within the Clery geography that is reported to campus security authorities or local police and is considered by the college to represent a serious or continuing threat to students and employees. Warnings are issued to enable members of the campus community to protect themselves. Therefore, warnings would include all information that would promote safety and aid in the prevention of similar crimes, but not jeopardize any ongoing law enforcement investigation. Timely warnings are issued through email (Juice announcements) and, as appropriate, as flyers and postings on bulletin boards in residence halls, classroom buildings, and the Saemann Student Center. Warnings will not disclose the identity of victims.

Wartburg College Title IX Sexual Misconduct, Discrimination, and Grievance Policy

I. Statement of Policy

Wartburg College is committed to providing a learning, working, and living environment free from all forms of sexual misconduct including, but not limited to, sex-based harassment, non-consensual sex acts, non-consensual sexual touching, and sexual exploitation. Wartburg College considers sex discrimination in all forms to be a serious offense and it will not be tolerated. The college is dedicated to preventing all forms of sex discrimination and sexual misconduct and educating all students, faculty, and staff regarding such discrimination and misconduct. Any conduct in violation of this policy will be treated using procedures described in this policy. Wartburg College encourages persons who have experienced any form of sexual harassment or sexual misconduct to report the incident promptly, to seek all available assistance, and where appropriate, to report the incident to local law enforcement. It is a violation of this policy for any student, and member of the staff or faculty, or any administrator to retaliate against another person for exercising his/her rights under this policy.

Members of the Wartburg College community, as well as guests and visitors, have the right to protection from sexual discrimination and misconduct. All members of the Wartburg College community are expected to conduct themselves in a manner that does not infringe upon the rights of others. The Wartburg College Sexual Misconduct, Discrimination, and Grievance Policy has been established to reaffirm these principles and to provide guidelines in reporting and recourse for those individuals whose rights have been violated. The policy is designed to promote compliance with campus, state, and federal regulations; to allow for appropriate reporting, investigation, and sanctioning of behavior that is inconsistent with Wartburg College policies; and to educate all members of the College community about the implications and consequences of this inappropriate behavior.

The policy covers conduct that occurs on the Wartburg campus and any Wartburg sponsored programs or events. The policy also covers prohibited conduct that initially occurs off-campus when students or employees experience continuing effects of the off-campus acts in the educational or work setting. The policy covers offenses perpetrated by faculty, staff, students, and third parties.

Notice of Non-Discrimination

Wartburg College does not discriminate based on race, color, national origin, creed, sex, age, religion, sexual orientation, gender identity, disability, or pregnancy in its education programs or activities. Wartburg College complies with Titles VI and VII of the Civil Rights Act of 1964, Title IX of the Educational Amendments Acts of 1972, the Age Discrimination in Employment Act of 1975, and Section 504 of the Rehabilitation Act of 1973, the Americans with Disabilities Act, and the Iowa Civil Rights Act. Wartburg College has developed policies and procedures that prohibit sex and other forms of illegal discrimination in all forms. It is required to comply with these laws. Questions about Title IX may be directed to Wartburg College’s Title IX Coordinator or the U.S. Department of Education Office of Civil Rights.

Contact information for Wartburg College’s Title IX Coordinator is in section “Filing a Complaint with Wartburg College” and “Identification and Role of Title IX Coordinator.”

Statement of Jurisdiction

Wartburg College has jurisdiction over complaints of sexual harassment, sexual violence, sexual assault, intimate partner violence, domestic violence, dating violence, and/or stalking that occurs on campus or at any College-sponsored event or program, regardless of where the incident occurs. The College also has jurisdiction over alleged misconduct occurring off
campus, during semester breaks, or between semesters, if the Complainant(s) and/or Respondent(s) are Wartburg College students and the off-campus conduct is likely to have a substantial impact on campus life and activities, or if the incident poses a threat of danger to any member of the College community.

Free Speech/Academic Freedom
As participants in a private institution, the faculty, staff, and students of Wartburg College enjoy significant free speech protections guaranteed by the First Amendment of the United States Constitution. This policy is intended to protect members of the College community from discrimination, not to regulate protected speech. This policy shall be implemented in a manner that recognizes the importance of rights to freedom of speech and expression. The College also has a compelling interest in free inquiry and the collective search for knowledge and thus recognizes principles of academic freedom as a special area of protected speech. Consistent with these principles, no provision of this policy shall be interpreted to prohibit conduct that is legitimately related to the course content, teaching methods, scholarship, or public commentary of an individual faculty member or the educational, political, artistic, or literary expression of students in classrooms and public forums. However, freedom of speech and academic freedom are not limitless and do not protect speech or expressive conduct that violates federal or state antidiscrimination laws.

II. Filing a Complaint with Wartburg College
Wartburg College encourages those who have experienced sex discrimination or any form of sexual misconduct to report these offenses to a responsible employee, who in turn will report the incident to the Title IX Coordinator.

Title IX Coordinator
Karen Thalacker
Chief Compliance Officer
100 Wartburg Blvd.
Waverly, IA 50677
(319) 352-8225
karen.thalacker@wartburg.edu

Title IX Deputy Coordinator
Jamie Hollaway
Director of Human Resources and Payroll
100 Wartburg Blvd.
Waverly, IA 50677
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jamie.hollaway@wartburg.edu

Title IX Investigators/Title IX Team
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Director of Residential Life
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Discussing a Violation with a Responsible Employee

Wartburg College encourages victims of sexual discrimination or those who have been involved in an incident of sexual misconduct to talk to somebody about what happened so that victims can get the support they need and so that the college can respond appropriately and in a timely manner.

Victims are encouraged to speak to a “responsible employee” if they believe they have been subjected to a violation of this policy. A “responsible employee” is someone with authority to redress sexual violence, who has a duty to report sexual violence or incidents of sexual violence to the Title IX Coordinator, or someone you believe has this duty and authority. A list of responsible employees is included below.

Different employees on campus have different levels of obligation to maintain a victim’s confidentiality. Some are required to maintain near complete confidentiality; discussion with them is called “privileged communication.” The policy identifies these individuals as “Responsible Employees (confidential).” Other employees are required to report all the details of an incident to the Title IX Coordinator (including the identities of both the victim and alleged perpetrator). A report to these “Responsible Employees (non-confidential)” constitutes a report to the college and obligates the college to investigate the incident and take appropriate steps to address the situation.

This policy is intended to make members of the college community, including faculty, staff, and students, aware of the various reporting and confidential disclosure options available to them so they can make informed choices about where to turn should they become a victim of sexual misconduct and/or discrimination. The college encourages victims to talk to someone identified in one or more of the following groups.

### Responsible Employees (confidential)

- Care providers who work for Noah Clinic
  The W, Wartburg College
  Suite 1392
  Waverly, IA 50677
  (319) 352-8436

- Wartburg College Campus Pastor
  Brian Beckstorm, Dean of Spiritual Life
  (319) 352-8217

- Counselors at Wartburg College Counseling Services
  Stephanie Newsom, Director of Counseling Services
  Molly Wertz, Counselor
  (319) 352-8596

If the victim of sexual discrimination or sexual misconduct desires that details of his/her incident be kept confidential, he or she should speak with someone designated as “responsible employee (confidential).” These employees cannot disclose the details of the alleged policy violation without permission from the person lodging the report.

### Responsible Employees (non-confidential)

- Title IX Coordinator — Karen Thalacker, (319) 352-8225
- Title IX Deputy Coordinator — Jamie Hollaway, (319) 352-8418
- Director of Campus Security — Jay Tommasin, (319) 352-8372
- Wartburg College Security Officers, (319) 352-8372
- Vice President for Student Life and Dean of Students — Daniel Kittle, (319) 352-8443
- Director of Residential Life and Chief Conduct Officer — Cassandra Hales, (319) 352-8553
- Director of Student Engagement — Lindsey Leonard, (319) 352-8486
- Residence Hall Directors and Resident Assistants in respective hall offices
- Members of the faculty and staff excluding those already identified

A victim of sexual misconduct may also seek assistance from the following resources:

- Waverly Police Department
  111 Fourth St. N.E
  Waverly, IA 50677
  (319) 352-5400
  www.waverlyia.com/police

- Waverly Health Center
  312 Ninth St. SW
  Waverly, IA 50677
  (319) 352-4120

- Riverview Center
  2055 Kimball Ave #355
  Waterloo, IA 50702
  (319) 939-9599

- Cedar Valley Friends of the Family
  PO Box 784
  Waverly, IA 50677
  (319) 352-1108

- Iowa Coalition Against Domestic Violence
  (800) 942-0333

- Iowa Coalition Against Sexual Assault
  (800) 284-7821

A complainant may request that Wartburg College treat certain reported information, such as the name of the alleged perpetrator, as “confidential.” The Policy addresses requests for confidentiality in section titled Protection of Parties: Interim Measures and Requests for Confidentiality.
Following an Incident of Sexual Misconduct/Assault

The college encourages a victim of sexual assault to seek medical attention and make all efforts to preserve evidence. The victim should not bathe, urinate, douche, brush teeth, or drink liquids. Clothes should not be changed; but if they are, bring all the original clothing to the hospital in a paper bag, as plastic bags damage the evidence. When necessary, the victim should seek immediate medical attention at an area hospital and take a full change of clothing, including shoes, for use after the medical examination. The items in the room or other specific location in which the assault took place should not be disturbed. The College understands the impact of trauma imposed on a victim during an assault, and if any of the above actions are not followed it doesn’t diminish the right to report an assault or how the College will carry out the steps of the investigation.

Wartburg College also encourages victims of sexual misconduct to seek counseling and/or identify a support person. A support person plays an important role in providing personal encouragement to a victim in a crisis situation. Information regarding counseling options, both on campus and in the community, can be obtained from Wartburg Counseling Services in Pathways on the third floor of the Vogel Library.

A person who reports a violation of the Policy to a responsible employee will be presented with appropriate interim measures to reduce or prevent additional contact between the complainant and alleged perpetrator, such as changing academic schedules and housing arrangements. Interim measures will be established by college authorities in a timely manner once notified of the violation in policy. For more information about interim measures, please see section titled Protection of Parties: Interim Measures and Requests for Confidentiality.

Identification and Role of Title IX Coordinator

The Title IX Coordinator is responsible for monitoring compliance with this policy and all related processes. The Title IX Coordinator will not personally investigate any complaints or play any decision-making role with respect to responsibility findings or sanction decisions. The Title IX Coordinator should be considered a resource for all parties involved in the processes. The Title IX Coordinator will consider a Complainant’s request for confidentiality and a Complainant’s request to refrain from investigating or disciplining the alleged perpetrator. See section Protection of Parties: Interim Measures and Requests for Confidentiality, for guidelines in confidentiality. Wartburg College’s Title IX Coordinator is Karen Thalacker, chief compliance officer, Luther Hall 334, karen.thalacker@wartburg.edu or 319-352-8225.

III. Definitions and Examples of Sexual Misconduct and Discrimination

Sex discrimination is defined as behaviors and actions that deny or limit a person’s ability to benefit from, and/ or fully participate in, educational programs or activities or employment opportunities because of a person’s sex. Examples of sex discrimination under Title IX include, but are not limited to, sexual harassment; sexual assault; sex/ gender-stereotyping; failure to provide equal opportunity in education programs, activities, and co-curricular programs, including athletics; discrimination based on pregnancy; and employment discrimination.

Sexual-based harassment and gender-based harassment

Sexual harassment is any unwelcome conduct of a sexual nature, sexual advance, request for sexual favors, or other unwanted visual, verbal, or physical conduct of a sexual nature which is directed toward a person because of his/her gender. Sexual harassment includes, but is not limited to:

- Submission to or rejection of such conduct is an explicit or implicit term or condition of an individual’s employment, educational benefits, academic grades or opportunities, on-campus living environment, or participation in social, co- or extra-curricular activities;

- Submission to or rejection of such conduct is used as a basis for employment, education, or living environment decisions affecting the individual.

Such conduct has the purpose or effect of unreasonably interfering with an individual’s work or academic performance or participation in educational experiences, by creating an intimidating, hostile, humiliating, demeaning, or sexually offensive working, educational, or social environment. The purpose or effect will be evaluated based on the perspective of a reasonable person in the position of a complainant.

Gender-based harassment is unwelcome conduct of a non-sexual nature based on an individual’s actual or perceived sex, including conduct based on gender identity, gender expression, and nonconformity with gender stereotypes.

While sexual harassment and gender-based harassment encompass a wide range of conduct, behaviors that may be considered sexual harassment and gender-based harassment include, but are not limited to, the following:

- Pressuring someone to engage in sexual behavior for educational or employment benefit.

- Making a real or perceived threat that rejecting sexual behavior will carry a negative consequence for or retaliation against another person.
• Denying, directly or indirectly, a person an educational or employment-related opportunity if that person refuses to comply with a sexually oriented request.

• Engaging in unwelcome physical contact such as touching, blocking normal movement, physical restraint, or assault.

• Retaliating against a person for filing a harassment complaint or threatening to report harassment.

Sexual harassment can involve males or females being harassed by members of either sex. Although sexual harassment frequently involves a person in a position of greater authority than the person being harassed, individuals in positions of lesser or equal authority can also be found responsible for engaging in prohibited harassment.

Sexual harassment can be physical and/or psychological in nature. An aggregation of a series of incidents establishing a pattern can constitute sexual harassment even if one of the incidents considered separately would not rise to the level of harassment.

Hostile Environment Harassment
Sexual harassment related to a hostile environment exists when unwanted conduct is so severe or persistent and patently offensive that it alters the conditions of education or employment, from both a subjective (the complainant) and an objective (reasonable person’s) viewpoint. The determination of whether an environment is “hostile” will be based on the following factors:

• the frequency of the conduct;
• the nature and severity of the conduct;
• the identity and relationships of the persons involved;
• the location of the conduct and the context in which it occurred;
• whether the conduct was physically threatening;
• whether the conduct was humiliating;
• the effect of the conduct on the alleged victim’s mental or emotional state;
• whether the conduct was directed at more than one person;
• whether the conduct arose in the context of other discriminatory conduct; and
• whether the conduct unreasonably interfered with the complainant’s educational or work performance.

Conduct may be physical, verbal, or nonverbal. For example, the following type of behaviors may constitute harassment:

• Inappropriate touching, hugging, or kissing.
• Sexual remarks about a person’s clothing, body, or sexual relations.

• Repeated requests for a date or repeated romantic advances toward a student or employee, despite the person’s rejection of the advances.
• Conversations, jokes, or stories of a sexual nature.
• Sexually explicit profanity.
• Obscene gestures.
• The display of sexually explicit materials in the workplace or in campus housing.
• The use of sexually explicit materials in the classroom presented without defensible educational purposes.

Sexual Misconduct
Sexual misconduct is a broad term encompassing all sexual behaviors that violate Wartburg College’s Title IX Policy. Sexual misconduct includes dating violence, domestic violence, sexual assault, stalking, inducing incapacitation for sexual purposes, sexual exploitation, and relationship violence. Any harassing behavior or nonconsensual physical contact of a sexual nature may constitute sexual misconduct. Sexual misconduct may vary in its severity and consists of a range of behaviors or attempted behaviors that may be grounds for disciplinary action under college policy.

Sexual Assault
Sexual assault means having or attempting to have sexual intercourse or sexual contact with another individual without consent. This includes sexual intercourse or sexual contact achieved by the use or threat of force or coercion, where an individual does not consent to the sexual act, or where an individual is incapacitated. Examples of sexual assault include, but are not limited to, the following behaviors when consent is not present.

i. Nonconsensual sexual contact is any sexual touching, however slight, with any object or body part, as defined below:
• Intentional contact with the breasts, groin, or genitals or touching another person with any of these body parts or making another person touch the offending party or themselves with or on any of these body parts; any intentional bodily contact in a sexual manner, though not involving contact with/of/by breasts, buttocks, groin, genitals, mouth, or other orifice.
• By a man or a woman upon a man or a woman, without consent.

ii. Nonconsensual sexual intercourse is any sexual intercourse (anal, oral, or vaginal), however slight, with any object or body part as defined below:
• Vaginal or anal penetration by a penis, object, tongue, or finger or oral copulation (mouth-to-genital contact or genital-to-mouth contact).
• By a man or woman upon a man or a woman, without consent.
iii. Forced sexual intercourse is any unwilling or nonconsensual sexual penetration (anal, vaginal, or oral) with any object or body part that is committed either by force, threat, intimidation, or through exploitation of another's mental or physical condition of which the assailant was aware or should have been aware.

Non-Disclosure of STD Information
Anyone who knows he or she has a sexually transmitted disease must disclose that information to a potential sexual partner prior to any sexual activity.

Sexual Exploitation
Sexual exploitation involves taking nonconsensual or abusive sexual advantage of another for his/her own advantage or benefit, or to benefit or advantage anyone other than the one being exploited, and that behavior does not otherwise constitute one of the other sexual misconduct offenses. Examples include, but are not limited to:

- Voyeurism — Observing another individual's nudity or sexual activity or allowing another to observe consensual sexual activity without the knowledge and consent of all parties involved.
- Nonconsensual visual (i.e. video, photograph) or audio recording of sexual activity.
- Nonconsensual distribution or streaming of photos, images, or information of an individual's sexual activity, intimate body parts, or nakedness having the effect of embarrassing an individual who is the subject of such images or information.
- Prostituting another person.
- Exposing one's genitals or inducing another to expose his or her genitals in nonconsensual circumstances.
- Inducing incapacitation for the purpose of making another person vulnerable to nonconsensual sexual activity.
- Knowingly exposing another individual to a sexually transmitted disease or virus without his or her knowledge.
- Possessing, distributing, viewing, or forcing others to view illegal pornography.

IV. Relationship Misconduct and Violence

Relationship misconduct includes dating violence and domestic violence as defined below. It includes abuse or violence against a person who is or has been involved in a sexual, dating, domestic, or other intimate relationship by the other person in the relationship. It may involve one act or an ongoing pattern of behavior.

Dating Violence
Dating violence is defined as the use of physical force against an employee, student, or campus visitor, with the intent to cause bodily harm or the intentional damage of property by a person who has been in a romantic or intimate relationship with another. The existence of such a relationship will be determined by the following factors:

- Length of relationship
- Type of relationship
- Frequency of interaction between the persons involved in the relationship

Domestic Violence
Domestic violence is a felony defined in Iowa Code 708.2A as an intentional or unauthorized act that is intended to cause pain to another or result in physical contact that is insulting or offensive, coupled with the apparent ability to do the act or to place another in fear of any such act, or the intentional and authorized pointing of a firearm or display of dangerous weapon in a threatening manner. The category of Domestic Violence pertains when the assault is committed:

- By a current or former spouse or intimate partner of the victim;
- By a person with whom the victim shares a child in common;
- By a person who is cohabiting with, or has cohabited with, the victim as a spouse or intimate partner;
- By a person similarly situated to a spouse of the victim under the domestic or family violence laws of the State of Iowa; or
- By any person against an adult or youth victim who is protected from that person's acts under the domestic or family violence laws of the jurisdiction in which the crime of violence occurred.

Relationship violence can include, but is not limited to any of the following:

- Physical violence that causes bodily injury.
- Sexual violence including rape.
- Emotional abuse creating apprehension of bodily injury or property damage. This includes violence or threat of violence to one's self, one's sexual or romantic partner, and/or to the family members or friends of the sexual or romantic partner.
- Repeated telephonic, electronic, or other forms of communication — anonymously or directly — made with the intent to intimidate, terrify, harass, or threaten.
- Economic abuse involving exploitation of the victim's economic resources in an educational setting.

Relationship violence often escalates from threats and verbal abuse to violence. While physical injury may be the
most obvious danger, the emotional and psychological consequences of relationship abuse are also severe and usually cause a fear of the partner and feelings of helplessness and desperation.

Stalking

Stalking includes repeatedly following, harassing, threatening, or intimidating another by telephone, mail, electronic communication, social media, or any other medium, device, or method that purposely or knowingly causes substantial emotional distress or reasonable fear for one’s safety, of bodily injury, or of death to one’s own person or another person. Examples of stalking can include, but are not limited to, any of the following:

- Nonconsensual communication including in-person communication, phone calls, voice messages, text messages, email messages, social networking site postings, instant messages, postings of pictures or information on websites, written letters, gifts, ordering goods or services, or any other communications that are undesired and/or place another person in fear.
- Following, pursuing, waiting, or showing up uninvited at a workplace, place of residence, classroom, or other locations frequented by a complainant.
- Vandalism, including attacks on data and equipment.
- Physical and/or verbal threats against a complainant or a complainant’s loved ones.
- Gathering of information about a complainant from family, friends, co-workers, and/or classmates.
- Manipulative and controlling behaviors, such as threats to harm oneself or threats to harm someone close to the complainant.
- Defamation or slander against the complainant, posting false information about the complainant, and/or posing as the complainant to post to websites, newsgroups, blogs, or other sites that allow public contributions, and thereby encouraging others to harass the complainant.
- Arranging to meet the complainant under false pretenses.

Effective Consent

Effective consent is active, not passive, and can be given only by persons of legal age. Lack of consent is the critical factor in any incident of sexual misconduct. Silence, in and of itself, will not be accepted as evidence of consent. Effective consent can be given by words or actions, as long as those words or actions create mutually understandable permission regarding the conditions of sexual activity — with whom, when, where, why, and how sexual activity will take place. Obtaining consent is an ongoing process in any sexual interaction. Sexual consent must be asked for and granted each and every time sexual activity takes place, regardless of previous levels of sexual intimacy between partners. Effective consent cannot be procured by use of physical force, compelling threats, intimidating behavior, or continued pressure to submit after someone makes it clear that they do not want sex, that they want to stop, or that they do not want to go past a certain point of sexual interaction. The parameters of effective consent are exemplified in the following guidelines:

- Consent to participate in sexual activity is freely and actively given, and requires clear communication between all persons involved in the sexual encounter.
- Either party may withdraw consent at any time. Withdrawal of consent must be outwardly demonstrated by mutually understandable words or clear, unambiguous actions that indicate a desire to end sexual activity. Once withdrawal of consent has been expressed, sexual activity must cease. The other individual(s) must immediately stop.
- Consent to engage in sexual activity must exist from the beginning to end of each instance of sexual activity and for each form of sexual contact. Consent to one form of sexual activity does not imply consent to other forms of sexual activity.
- Previous relationships or previous consent does not imply consent to future sexual acts.
- Any time sexual activity takes place, the individuals involved must be capable of controlling their physical actions and making rational, reasonable decisions about their sexual behavior. If at any time it is reasonably apparent that either party is hesitant, confused, or unsure, both parties should stop and obtain mutual, verbal consent before continuing such activity.
- Consent cannot be procured by use of physical force, compelling threats, intimidating behavior, or coercion. Coercion is unreasonable pressure for sexual activity.
- Sexual intercourse or sexual contact with someone you know is mentally or physically incapacitated, or you have reason to believe is mentally or physically incapacitated (by alcohol or other drug use, unconsciousness, or blackout), violates this policy.
- Minors, mentally disabled individuals, or persons incapacitated because of consumption of drugs or alcohol cannot give effective consent. This policy also covers someone whose incapacity results from mental disability, sleep, involuntary physical restraint, or from the taking of a so-called “date-rape” drug.

Incapacitation

An individual who is incapacitated cannot consent to sexual activity. Incapacitation is defined as the inability, temporarily or permanently, to give consent, because an individual is mentally and/or physically helpless, unconscious, or unaware that the sexual activity is occurring. Where alcohol and/or other drugs (including prescription drugs) are involved, incapacitation is a state in which a person cannot make a
rational, reasonable decision because he or she lacks the ability to understand the "who, what, when, where, why, or how" of his or her sexual interaction. Warning signs that a person may be incapacitated may include slurred speech, vomiting, unsteady gait, odor of alcohol or other substance, combativeness, and/or emotional volatility.

An individual who engages in sexual activity with someone the individual knows or reasonably should know is incapable of making a rational, reasonable decision about whether to engage in sexual activity is in violation of this policy. This includes a person whose incapacity results from ingestion of a "date-rape" or "predatory" drug. Possession, use, and/or distribution of any of these substances, including but not limited to Rohypnol, LEAN, Ketamine, GHB, or Burundanga, is prohibited, and administering one of these drugs to another person for the purpose of inducing incapacity is a violation of this policy and civil criminal statutes. More information on these drugs can be found at http://www.911rape.org/.

V. Conflict of Interest
Wartburg College has an obligation to demonstrate and document good governance to protect the integrity and credibility of the College and to maintain the trust and confidence of its community. The purpose of the conflict of interest policy is to address potential conflicts occurring when an employee is in a position to influence a college decision that may result in direct or indirect personal gain and to ensure the transparency of related party relationships. The policy is also intended to address conflicts that arise when a College employee's personal interests or relationships conflict with the ability of such employee to act in a neutral manner with regard to a complaint against a faculty member, staff member, or student. The manner in which a conflict of interest is to be handled may also be addressed in other College policies. In accordance with this policy, those who participate in the investigation or resolution of a complaint under Wartburg's policies shall take all necessary precautions to avoid any actual or potential conflicts of interest and to disclose any actual or potential conflicts that may exist.

A conflict of interest arises when someone may benefit personally from dealings with an entity or person with a relationship to the College, including indirect benefits such as to family members or businesses with which the person is closely associated. A conflict of interest also arises when someone's personal interests or relationships may compromise the professional judgment in the discharge of College duties and responsibilities. Examples of potential conflicts include but are not limited to:

- Employment with, membership on a board of directors of, or significant ownership interest in a company doing business with the College; or
- An employee or staff member serving in some capacity in an investigation or proceeding for possible violation of a College policy has a personal interest with one of the parties under investigation.

All individuals with an actual or potential conflict of interest shall complete a conflict of interest disclosure statement at the earliest practicable time so that the conflict may be reviewed and resolved. All statements should be forwarded to the Title IX Coordinator. Disclosure statements may be reviewed by a committee consisting of representatives from Human Resources, Student Life, and Dean of Faculty offices, respectively. The committee will determine any appropriate action that may be necessary, including but not limited to annual disclosure or disqualification from participation in transactions creating the conflict. Not all conflicts will be material enough to be of practicable importance or if material, upon full disclosure, may not necessarily affect the College, the proceeding, or the investigation in an adverse way. However, in the interest of transparency, disclosure is still required.

If an individual believes that a College employee has a conflict, the individual is to promptly report the perceived conflict to the Title IX Coordinator. In the event that the conflict concerns the Title IX Coordinator, the individual is to submit the notification to the Dean of Students or Dean of the Faculty. The notification is to be in writing and include a description of the perceived conflict of interest and the rationale for the belief that there is a conflict of interest. The Dean of Students or Dean of the Faculty shall be responsible for reviewing the matter and taking appropriate actions to ensure that the conflict of interest concern is appropriately addressed.

VI. Reporting a Violation
Any person at Wartburg College who believes he/she has been subject to sexual discrimination or a victim of a sexual misconduct act by a Wartburg College student, faculty, staff, or outside third party is encouraged to promptly take the following actions:

- Report the incident to a responsible employee. (See Discussing a Violation with a Responsible Employee section)
- Individuals can report information relating to violations of the conduct code including violations of this policy, to www.wartburg.edu/GetHelpNow, which allows members of the Wartburg Community to anonymously report crimes, offer tips, or advise the College of other adverse situations.
Once an incident has been reported, the following actions will be taken:

- The information shall be brought to the Title IX Coordinator or one of the Title IX investigators.
- The Title IX Coordinator or designee will meet with the complainant to explain his/her rights and options and the procedure by which the investigation will be conducted. The Title IX Coordinator will consider a victim’s request for confidentiality, described further in section Protection of Parties: Interim Measures, Requests for Confidentiality, and Prohibition on Retaliation.
- The Title IX Coordinator or designee will provide information about the formal reporting options and policies governing confidentiality, describe the rights of the victim regarding options and policies governing confidentiality, describe the rights of the victim regarding type of protection (no-contact order, restraining order), and explain how each reporting option works.
  
  i. Institutional complaint — An institutional or criminal complaint initiates the investigation procedures by the Title IX Coordinator and the Title IX Investigator. The written institutional complaint should provide the following information:
    a. The names, addresses, and telephone numbers, if available, of the complainant and respondent;
    b. Specific acts alleged, including dates, times, and locations;
    c. Names of potential witnesses, including addresses and telephone numbers, if available; and
    d. Actions taken by any party to address the discrimination, if any.
  
  ii. Criminal complaint — Each Complainant has the right to notify or to decline to notify campus security, the police, or other local law enforcement agency. If the Complainant wishes, a responsible employee of Wartburg College will assist the Complainant with reporting the incident to law enforcement.

Investigation Procedures

Wartburg College shall attempt to resolve any reports of sexual harassment and discrimination by informing, educating, mediating, or negotiating informal agreements with the complainant and respondents. If no resolution can be reached that is acceptable to both parties and to the College, the Title IX Coordinator or designee may institute a sexual harassment investigation, at the request of the complainant, as outlined below.

The goal of early resolution is to resolve concerns at the earliest stage possible, with the cooperation of all parties involved. Early resolution may include an inquiry into the facts, but typically does not include a formal investigation. Means for resolution shall be flexible and encompass a full range of possible appropriate outcomes. Early resolution includes options such as mediating an agreement between the parties, separating the parties, referring the parties to counseling programs, negotiating an agreement for disciplinary action, conducting targeted educational and training programs, or remedies for the individual harmed by the harassment or discrimination. Early resolution can also include options such as discussions with the parties, making recommendations for resolution, and conducting follow-up after a period of time to assure that the resolution has been implemented effectively. Early resolution may be appropriate for responding to anonymous reports and/or third-party reports. Steps taken to encourage resolution and agreements reached through early resolution efforts should be documented.

The following procedures will govern all investigations of sexual misconduct complaints alleging violations of this policy. Wartburg College reserves the right to deviate from these procedures when such deviation is necessary to ensure appropriate processing of the investigation, to ensure the process will be prompt, fair, and impartial. Title IX Investigators without a conflict of interest to the parties, witnesses, or the outcomes of the decision will conduct the investigation and adjudication. Title IX investigations will be conducted by officials who have received, at minimum, annual training on issues related to dating violence, domestic violence, sexual assault, stalking, and how to conduct investigations or hearings that protect victims and promote accountability. Should the Title IX Coordinator be unavailable to oversee the process and coordinate the process, his/her designee will act instead.

1. A Title IX investigative team (consisting of two trained Title IX Investigators) shall conduct an investigation into the report. The investigation should be conducted within 14 working days or within a reasonable amount of time required to complete the investigation. If law enforcement is involved, the college may delay its investigation process while police engage in a legal investigation.

2. The purpose of the college investigation is to establish whether there is a reasonable basis for believing that the alleged violation of this policy has occurred. During the course of an investigation, the investigating office will work collaboratively with other college offices to ensure that the investigation is handled properly and thoroughly.

3. If the complainant or the respondent is under 16 years of age, his/her parent or legal guardian will be notified of the complaint by phone, email, or U.S. mail and the local police department will be notified.

4. Advisers can be requested throughout this process by both the complainant and the respondent. An adviser is any individual of the party’s choosing. An adviser
does not actively participate unless the adviser obtains express permission to do so from the individual charged with handling the investigation. Advisers may be present during the investigation and during any pre-investigation and post-investigation meetings.

5. The investigation will include, but not be limited to, interviewing the Complainant, Respondent, any relevant witnesses, and evaluating other evidence such as documents, emails, texts, etc. Complainants and respondents will each have the opportunity to respond to allegations, examine evidence, and participate in the process. All witness interviews will be audio recorded, and all such recordings shall at all times remain the property of the College.

6. In conducting the investigation, the appropriate administrator or their designee may interview the complainant, the respondent, and other persons believed to have pertinent information. At all times the Title IX Coordinator, who is responsible for the investigation, will take appropriate steps to ensure the confidentiality of the investigation and protection of all parties.

7. In all procedures involving allegations of violations of this policy, regardless of any language found within any applicable policy, the standard of proof shall be “preponderance of the evidence.” A preponderance of the evidence means that the information shows that it is “more likely than not” that the accused violated this policy.

8. After all available information is reviewed and interviews completed, the Title IX Investigators, or his/her designee, will review all the information and

   a. Provide the Complainant and Respondent the same opportunities to respond to allegations, examine evidence, and participate in the process.

   b. Determine whether misconduct has occurred and the appropriate response. When an employee has engaged in misconduct, the Director of Human Resources and/or Dean of the Faculty will take formal action. When a student has engaged in misconduct, the Chief Student Conduct Officer will take formal action. Sanctions may include disciplinary action ranging from apologies or warnings up to suspension or termination of employees and suspension or expulsion of students.

   c. Notify simultaneously in writing the complainant and the respondent regarding the outcome of the investigation, the appeal procedures, and any changes to the final results within 10 working days after the completion of the investigation.

   d. Collaborate with necessary administration and/or departments to provide assurance that the school will take steps to prevent the recurrence of any sexual violence and remedy discriminatory effects on the complainant and others, as appropriate.

9. If either party is unsatisfied with the handling of the investigation or the recommendations of the Title IX investigation or the recommendations of the Title IX Investigator, she or he may request from the Title IX Investigator a review of materials used in the investigation and clarification of sanctions. The request must be made in writing within three working days and must explain the reasons, in light of the established criteria for an appeal of sanctions, why the student objects to the sanctions or seeks further clarification.

10. Protection of the campus community is paramount. Therefore, the college may take appropriate disciplinary action where a complaint of sexual assault, dating violence, domestic violence, and stalking complaint has occurred, with or without concurrence of the complainant.

11. During any on-campus disciplinary action relating to a sexual assault, the complainant and respondent are each entitled to have an adviser of choice present in the room.

12. All complaints will be adjudicated as expeditiously as possible and generally within 60 workdays.

Appeal Process

If, after the initial review and clarification of sanctions by the Title IX Investigator, the complainant or respondent chooses to appeal the decision, he or she may, within three workdays, formally appeal to the Title IX Coordinator, by written notice. If there is a conflict of interest with the Title IX Coordinator, the appeal shall be referred to the Dean of Students, Dean of the Faculty, or the President. This notice must include a rationale for the person’s appeal, explaining why he/she objects to the decision. The Title IX Coordinator, Dean of Students, Dean of the Faculty, or President will consider an appeal only if one of the following is demonstrated:

- Irregularities that influenced the outcome of the disciplinary action. It is up to the person making the appeal to demonstrate that the original decision would likely have been different if the irregularity or error not occurred.

- Prejudice on the part of the Title IX Investigator or any other college personnel who participated in the process against any party involved. The prejudice must be more than simple opposition to the appealing party’s point of view; instead, evidence must show a significant conflict of interest, bias, pressure, or influence that prevented a fair and objective hearing.

- Discovery of new and significant evidence not available at the time of the original hearing/investigation.

- To determine that the finding is not consistent with the information or evidence obtained or a sanction or remedy that is extraordinarily disproportionate to the violation.

Upon receipt of this written notice, the Dean of Students, Dean of Faculty, or President will inform the other party of
the appeal, evaluate the merits of the appeal request, and will inform the appellant within three workdays as to whether or not the full appeal will be considered. If the Dean of Students, Dean of Faculty, or President denies the appeal request, there is no further appeal.

If the appeal is accepted, an Appeal Committee will be established, comprised of trained Title IX investigators not involved in the original investigation. The Appeal Committee will review the notice of complaint document, any investigatory materials, Investigators Notice of Decision, Notice of Appeal, and any response to the Notice of Appeal. Within 15 workdays, the Appeals Committee will make a decision on the appeal. If the Appeal Committee reverses or alters the original Investigators’ decision, the Appeal Committee’s decision shall be final.

VII. Protection of Parties: Interim Measures, Requests for Confidentiality

After lodging a complaint, a student or employee may request that the complainant’s name not be disclosed to the alleged perpetrator and may also request that the College refrain from investigating or taking disciplinary action against the alleged perpetrator. To every extent possible, Wartburg College will endeavor to honor such a request. The College reserves the right, however, to override this request in order to meet its legal obligations under Title IX and other laws as necessary. Honoring a confidentiality request or a request to refrain from investigating or disciplining an alleged perpetrator may limit Wartburg College’s ability to effectively investigate, discipline, or respond to a complaint or incident. Members of the Wartburg College community are prohibited from retaliating against any individual who lodges a complaint or who participates in an investigation under this Policy.

The Title IX Coordinator will consider all requests for confidentiality, as well as requests to refrain from investigating or discipline. If the Title IX Coordinator decides that Wartburg College can honor the Complainant’s request without compromising its duties under Title IX and other laws, he or she will take all reasonable steps to respond to the incident while honoring this request. If the Title IX Coordinator decides that Wartburg College cannot honor the Complainant’s request without breaching its duties under Title IX and other laws, the Title IX Coordinator will overrule the Complainant’s request. If a request is overruled by the Title IX Coordinator, he or she will advise the Complainant of the decision and take any steps necessary to protect the Complainant.

After it receives a complaint, Wartburg College will promptly consider, and if necessary, take interim measures prior to its investigation, to ensure the Complainant’s safety, including safe housing and movement on campus, as well as the Complainant’s ability to participate in educational activities and opportunities.

To the extent possible, the proceedings will be conducted in a way that protects the confidentiality and safety of the complainant, respondent, and witnesses. The parties will be informed promptly about the outcome of the proceedings.

At the time the investigation commences, the respondent will be informed of the nature of the allegations and the facts surrounding the allegations.

At any time, the Title IX Coordinator or designee may recommend interim protections or remedies for the parties or witnesses involved be provided by appropriate college officials. At minimum, interim measures include, but are not limited to:

- Periodically updating the Complainant on the status of the investigation.
- Placing limitations on contact between the parties.
- Notifying the alleged perpetrator of guidelines established to prevent contact with the Complainant.
- Making the Complainant aware of Title IX rights and available resources.
- Notifying the Complainant of the right to engage law enforcement.
- Changing the living arrangements including making alternative workplace or student housing arrangements, which could include removing an alleged perpetrator from campus housing at her or his own expense.
- Modifying course schedules, including assignments and exams and increased monitoring or supervision.

A complainant found to have been intentionally dishonest in making the allegations or to have made them maliciously will be subject to disciplinary action. False charges or complaints of sexual harassment are damaging to the campus community and will be treated as a serious offense. Intentional false reports may also violate state criminal statutes and/or civil defamation laws.

Intent

The fact that a person did not intend to sexually harass or assault an individual is not considered a defense. The use of alcohol or drugs does not excuse behavior that violates this policy.

VIII. Outcomes of Sexual Discrimination or Misconduct

Disciplinary action by the college will normally proceed even if criminal proceedings have been initiated. Wartburg College’s action will not be subject to challenge on the grounds that criminal charges involving the same incident have been dismissed or reduced or that no criminal charges have been brought. The procedures and burdens of proof in a disciplinary action are different from those applicable to
a criminal trial. If civil authorities are notified, students can anticipate that Wartburg College will be in communication with such authorities. Any person violating the college’s policy against sexual discrimination, sexual assault, sexual misconduct, relationship violence (domestic and dating), or stalking may be subject to disciplinary action, including loss of educational opportunities, loss of scholarship, suspension, dismissal, or expulsion. The nature of the sanction(s) will be determined on a case-by-case basis, taking into account numerous factors, including the following:

- The severity of the incident;
- Previous disciplinary infractions;
- Consistency in punishment for like offenses;
- Risk of repeat offenses;
- Danger to community;
- Acceptance of responsibility/remorse;
- Type of harm caused;
- Number of victims; and
- Necessary actions to protect survivor/community.

In addition to sanctions against the alleged perpetrator, the College may provide recommendations/accommodations to the complainant such as counseling, alternative living/working arrangements, and academic accommodations.

Retaliation

Wartburg College prohibits retaliation against a complainant, respondent, or any individual or group of individuals involved in the investigation of and/or resolution of an allegation of sexual discrimination or misconduct. Such retaliation shall be considered a serious violation of the policy and independent of whether an informal or formal complaint of sexual discrimination or misconduct is substantiated. Encouraging others to retaliate shall constitute a violation of the policy. Examples of conduct that may constitute retaliation include, but are not limited to:

- Unfair grading, evaluation, or assignments;
- Having information withheld or made difficult to obtain in a timely manner, such as class information, recommendations, or grades
- Not being informed important events, such as meetings or changes in policies; and
- Ridicule (public or private), taunting, bullying, verbal or written threats or bribes, or refusal to meet with the person even though that person has a right to do so.

Any person who retaliates against a complainant will be subject to disciplinary action up to and including termination of employment (employees) or expulsion (students).

Persons who believe they have been retaliated against in violation of the policy should file a complaint with the Title IX Coordinator.

Confidentiality

All inquiries, complaints, and investigations are treated with discretion. Information is disclosed as law and policy permit or require. The identity of the complainant may, however, be disclosed to the person(s) accused of misconduct. Publicizing information about alleged sex discrimination or misconduct is strongly discouraged, as publication of information may constitute retaliation under this policy, which is strictly prohibited.

The Title IX Coordinator shall maintain all information pertaining to a complaint or investigation in secure files.

Federal Statistics Reporting Obligations:

Federal regulations require campus law enforcement officials to publish an annual security report describing the types and numbers of sexual misconduct incidents that have occurred and their general location (on or off campus or in the surrounding area; no addresses are given). These reports contain statistical information only. All personally identifiable information is kept confidential. This report helps to ensure greater community safety by providing the community with a clear picture of the nature and extent of campus crime and meets reporting requirements established by the Clery Act.

IX. Special Provisions

Attempted Violations

In most circumstances, the college will treat attempts to commit any of the violations listed in this policy as if those attempts had been completed.

Encouraging Complaining Parties to Report Violations

The college community encourages the reporting of Title IX violations. Sometimes complaining parties are hesitant to report to college officials because they fear that they may be charged with policy violations, such as underage drinking at the time of the incident. It is in the best interest of this community that as many complaining parties as possible report to college officials. To encourage reporting, the college pursues a policy of offering immunity from being charged
with policy violations related to a particular incident to those parties complaining of sex discrimination or misconduct.

**Encouraging Witnesses to Aid Victims**

Wartburg College subscribes to the concept of a “Good Samaritan” policy. For example, an underage student who has been drinking should not hesitate to help another student who is in danger. A student who chooses to intervene in the situation will not be subject to formal discipline sanctions.

**Parental Notification**

The college reserves the right to notify parents/guardians of dependent students regarding any health or safety emergency, change in student status, or conduct situation. The college also reserves the right to designate which college officials have a need to know about individual complaints pursuant to the Family Educational Rights and Privacy Act (FERPA).

**Notification of Outcomes**

The outcome of the Title IX investigation involving students is part of the educational record of the student parties involved, and is protected from release under the federal laws delineated by FERPA. The college observes legal exceptions regarding notification of the parties involved and others whom the college determines to inform based on the law and this policy.

Students who bring any sort of sex discrimination complaint against faculty or staff shall be informed of the outcome of the investigation and the resolution at the same time as the complaint respondent.

The college may release publicly the name, nature of the violation, and the sanction for any student who is found in violation of a college policy that is a “crime of violence,” including sex offenses, arson, burglary, robbery, criminal homicide assault, destruction/damage/vandalism of property, and kidnapping/abduction. The college will release this information to the complainant in the case of any of these offenses regardless of the outcome of the investigation.

**Implementation and Dissemination of Information**

To support this policy, Wartburg College will conduct periodic orientation and ongoing educational programs for students, faculty, and staff concerning all areas of the Title IX, Clery Act, VAWA, and Campus SaVE Act. The Title IX Coordinator is charged with communicating annually by letter to all faculty, staff, and students to remind them of the contents of the Title IX Policy, rights and privileges of individuals, and responsibility of faculty and staff regarding sexual misconduct, relationship violence, and stalking. Copies of this policy and the procedures it prescribes will be available at all times at appropriate college centers and offices, as well as on the Wartburg College website.

**Sex Offender Information**

The federal Campus Sex Crimes Prevention Act requires institutions of higher education to issue a statement advising the campus community how to obtain state law enforcement agency information concerning registered sex offenders. It also requires sex offenders, who are already required to register in a state, to provide notice, as required under state law, of each institution of higher education in that state at which that person is employed, carries a vocation, or is a student. For the State of Iowa, you may obtain this information by contacting the Waverly Police Department at (319) 352-5400 or by inquiring through the Iowa Sex Offender Registry at http://www.iowasexoffender.com.

**Child Abuse Reporting**

Consistent with the Code of Iowa, any employee who in the scope of their employment responsibilities examines, attends, counsels, or treats a child (person under the age of 18) must report suspected child abuse to the director of Campus Security & Safety, the Title IX coordinator, Waverly Police, or anonymously via GetHelpNow (text 50911) or at www.wartburg.edu/GetHelpNow as soon as reasonably possible. Nothing limits an employee from reporting directly to law enforcement or the Department of Human Services. The scope of this policy is intended to be broad, and any uncertainty about whether reporting is required should be resolved in favor of making a report.

**STUDENT CONDUCT POLICY**

**Students’ Rights and Responsibilities**

Students are expected to conduct themselves as mature members of the college community, respecting the rights of others and making use of educational opportunities.

Standards have been established for scholarship, personal conduct, and campus life. These standards have been created to provide an environment that encourages learning. Some of the standards exist because the college is part of the larger community and is governed by federal, state, and local laws. The college is not a sanctuary from civil authority.

All students at Wartburg have the responsibility to respect the rights of others. In addition, students are committed to the academic Honor Code as approved and distributed by Student Senate. First-year students sign the Wartburg Honor Code during opening days as a public recognition of the community values of Wartburg College.
ACADEMIC CONDUCT

The professor has full authority in determining the action to be taken in case of academic dishonesty. He/she will meet with the student to review possible academic conduct violations. The Student Honor Council may also be asked by the professor to review the case. Some of the following actions are possible:

- The professor may fail the student in the course;
- The student may be given a failing grade on the examination or assignment;
- The student’s grade may be lowered on the examination or assignment; or
- The student may be required to take another examination or do the assignment over.

In addition to whatever action a professor may take, the Vice President for Academic Affairs, after consultation with the professor, may suspend or dismiss a student for committing an act of academic dishonesty.

EXAMPLES OF ACADEMIC DISHONESTY ARE:

CHEATING
- Copying homework assignments from another student.
- Working together on a take-home test or homework when not permitted by the instructor.
- Looking at and receiving information from another student’s paper during an examination.
- Looking at and using notes during an examination when not specifically permitted.
- Mistreatment of the amount or type of work done.

TENDERING OF INFORMATION
- Giving your work to another student to be copied.
- Providing answers to another person for exam questions before, after, or during an exam.
- Giving or selling a term paper or any work that is to be handed in to the instructor.

PLAGIARISM
- Copying homework from a text to hand in for a grade.
- Quoting text or other works on an exam, term paper, or homework without citations.
- Handing in a paper purchased from a research service.
- Retyping someone’s paper and handing it in as your own.
- Incorrectly citing resources for written assignments.

COLLUSION
- Planning with one or more students to commit any form of academic dishonesty.
- Giving your term paper or any other work to another student whom you suspect will plagiarize it.
- Unauthorized collaboration of aid in any academic work.

MISREPRESENTATION
- Having another student do your work for you.
- Lying to your professor to increase your grade.
- Having another student take an exam for you.
- Submitting the same work for a grade in two different courses without permission from the course instructors.
- Misrepresentation of the amount or type of work done.
- Altering a graded work after it has been returned, and then submitting it for re-grading without the instructor’s knowledge.

BRIbery
- Offering money or any item or service to a faculty member or another person to gain academic advantage for yourself or another student.

ABETTING DISHONESTY
- Observing cheating on an examination without reporting it to the faculty member.
- Passing answers for a test from one student to another via any mode of communication. (e.g. texting, online communities such as Facebook, etc.)
- Knowing about plagiarism by another student on a paper without reporting it to a faculty member.
- Assisting a student in buying a paper from a research service.

Social Conduct

PURPOSE OF THE CONDUCT SYSTEM
The Student Conduct System at Wartburg College has been established to provide a process through which students, faculty, and staff can function productively as a college community. Student involvement is essential in the conduct process. To inform students about appropriate behaviors, general information related to conduct proceedings (violations and sanctions) may be reported periodically.
I. STUDENT CODE
A. All members of the Wartburg community have the responsibility for adhering to all local, state, and federal laws. The college will not protect members of the community from the consequences of violation of these statutes.
1. Student behavior occurring off-campus that violates local, state, and federal laws or additional college regulations are subject to proceedings within the Wartburg College Student Conduct process.

B. Misconduct in any of the following areas will be considered a violation of college regulations (additional policies, procedures, and rules are outlined in this Student Handbook and the Residence Hall Contract):
1. Knowingly providing false information to college officials on a college-related matter, including a conduct investigation or hearing, with the intent to deceive.
2. Intentional obstruction of college officials or employees attempting to carry out their responsibilities and duties. This would include failing to comply with a college employee’s reasonable requests or directions.
3. Physical, verbal, written abuse or harassment of any person on college property or at college-sponsored events, or conduct that threatens or endangers the health or safety of that person.
4. Sexual harassment or assault of any member or visitor to the college.
5. Theft, vandalism, or unauthorized use of property or services on college property or at college-sponsored events.
6. Disorderly or obscene conduct on college property or at college-sponsored events.
7. Use, possession, sale, distribution, or in presence of narcotic or dangerous and illegal drugs
8. Use or possession of explosives, firecrackers, or firearms on college property.
9. Misuse of or tampering with fire alarm systems or other safety and security equipment.
10. Illegal gambling, as defined by the State of Iowa and federal law, which adversely affects an individual or individuals, with the exception of raffles approved by the college and permitted by the State of Iowa.
11. Conduct that adversely affects the student’s ability or other students’ ability to be a contributing member of the academic and residential community. See Hazing and Bullying Policies for additional information.
12. Failure to observe sanctions imposed through the established college judicial process.
13. Violation of rules, procedures, and policies governing college-owned facilities.

14. Violation of rules, procedures, and policies, stated in the current catalog, Student Handbook, or other current official publications or notices.

The procedures employed by the Student Conduct System are a part of the educational process and are designed to help students determine appropriate behavior in the college community. There is a fundamental difference between the nature of student discipline and civil law. Student discipline must be consistent with the educational mission of the institution. The conduct procedures provide for a fair hearing and an appeal. Decisions are based on a preponderance of evidence as it is available to college officials. The procedures and sanctions are not meant to resemble those used in a civil judicial process. A wide range of sanctions are available to the board or administrator who hears the case.

Disciplinary actions, taken in a manner that promote student growth and understanding, attempt to hold students accountable for their behavior. Punitive measures are not the primary focus. Wartburg College, through the conduct system, articulates the ethical precepts that support the behavioral expectations of the community.

I. FILING A COMPLAINT
Any student, faculty member, staff member, or administrator may report a violation of college policy against a student. The charges must be filed on either an incident report form, security report, or documented in written form. Incident Report forms are available from any residence hall director or from the Student Life Office. Those wishing to make an anonymous report can do so through use of GetHelpNow; however, this will limit the College’s ability to take action on the matter.

Students who are charged with behavior threatening or contrary to the policies and lifestyles of the Wartburg community may be subject to an interim suspension by the Vice President for Student Life prior to the hearing. Students living on campus will be required to vacate their residence within a time period designated by the Vice President for Student Life or designee.

A report of violation of college policy covered by local, state, or federal law also may result in a student being subject to the student conduct process.

II. HEARING OFFICERS
A. Administrative Hearing
1. Purpose
The administrative hearing may consider any disciplinary matters involving the student as a citizen on campus, while involved in a Wartburg-authorized program and in society.
An administrative hearing may replace an SCB hearing: (a) if the board cannot function due to the nature of the college calendar; or (b) if the Vice President for Student Life or Director of Residential Life/Chief Conduct Officer decides a violation warrants immediate action due to the confidentiality, sensitivity, or the severity of the incident.

2. Composition and Selection
The Vice President for Student Life, Director of Residential Life, or their designee will conduct all administrative hearings. If an administrator has a conflict of interest, it may be requested that either the Student Conduct Board or another administrator handle the hearing.

B. Student Conduct Board (SCB) Hearing

1. Purpose
The SCB may consider any disciplinary matters involving the student as a citizen on campus, while involved in a Wartburg-authorized program and in the society.

2. Composition and Selection
The SCB shall consist of as many as 10 students with alternates as needed. A hearing panel shall consist of five students. SCB should be composed of members from each class year. Selection of the SCB will be determined from a pool of candidates to which all students are eligible. Recommendations from faculty and staff will be taken into account and interviews will be conducted as needed. Final selection will be determined by a committee made of returning SCB members and the adviser.

3. Organization
The SCB will be convened by the Director of Residential Life/Chief Conduct Officer. This person will serve as the adviser on matters of training, organization, and procedure. The adviser and SCB will select one or two member(s) to serve as the chairperson(s), who will convene the board upon request of case to be heard. Five members constitute a quorum.

Procedure

I. REVIEW OF THE COMPLAINT
The Vice President for Student Life or the Director of Residence Life/Chief Conduct Officer or their designee shall make a preliminary investigation and may attempt to dispose of the charges by mutual consent without the initiation of formal disciplinary proceedings. If there is uncertainty or dispute concerning the charges and/or sanctions, the case shall be taken to the SCB or to the appropriate administrator.

II. NOTIFICATION OF INVOLVED PARTIES
Formal disciplinary proceedings will begin immediately after the incident report form or written documentation has been received and reviewed. The charges will be presented to the charged student(s) in writing, and they will be provided the option of having an administrative or Student Conduct Board hearing. The charged student(s) must respond within three days to the Student Life Office or designee. In some instances, depending on the severity of the incident and the judgment of the SCB or administrative hearing officer, other parties involved (complainant) in the incident may be informed of the conduct process outcomes and sanctions. Email is an official form of college communication.

III. THE HEARING PROCESS

A. Rights and Responsibilities of the Complainant and the Charged Student
1. The charged student and the complainant (if there is one) may request a person of their choice to be present at the hearing. This person may not participate in the hearing. The chairperson of the conduct board or the administrative hearing officer must be present at the hearing.
2. The charged student, the complainant, SCB, or administrative hearing officer may request the presence of witnesses. A witness shall be defined as a person with factual knowledge concerning the incident. The chairperson of the conduct board or administrative hearing officer must be notified of all witnesses at least one day prior to the hearing.
3. If the charged student or complainant fails to appear at the scheduled time of the hearing, the Student Conduct Board or administrative hearing officer will hear and determine, if possible, the matter in the student’s absence. The student will be notified of the decision.

B. Steps of the Hearing
These procedures provide the guidelines to be followed in all hearings. These guidelines are designed to provide order and fairness to all persons involved.

1. The SCB chairperson or administrative hearing officer introduces all persons present.
2. The chairperson or administrative hearing officer explains the hearing procedures.
3. The date of the incident and charges are read and explained as needed.
4. The chairperson or administrator excuses all witnesses.
5. SCB members or administrative hearing officer questions the complainant and charged student(s).
6. The witnesses re-enter when called to be questioned as needed.
7. The chairperson or administrative hearing officer informs the charged student(s) as to when they will be notified of the decision.

8. The charged student(s) are excused.

IV. DECISION PROCESS

A. Determination of Responsibilities

1. If the charged student(s) is found not responsible for the complaint, the case will be dismissed.

2. If the charged student(s) is found responsible for the complaint, the SCB or the administrative hearing officer will deliberate and determine the sanctions.

3. All decisions through SCB will be reached by a majority vote. If the majority feels that no decision should be or can be made, the case is dismissed. The decision is based on the preponderance of evidence available to the SCB.

B. Choice of Sanctions

The choice of an appropriate sanction will be undertaken in a manner promoting student growth and understanding. The sanction should not be perceived solely as a penalty for misconduct. Although sanctions will be dealt with on an individual basis and are designed to hold student(s) accountable for their behavior, some choices include, but are not limited to:

- Letter of Warning: A written reprimand warning that another violation, within a certain period of time, will result in more severe action. A copy will be placed in the student’s file in the Student Life Office.

- Monetary Fine: A monetary charge ranging from $50 to $500 may be fined to the student(s) involved and used for educational purposes.

- Educational Sanction: Participation in a group or activity, designed to further the participants understanding of self and the issues involved and acceptance of the college’s positions and needs.

- Payment of Damages: Restitution for the cost of any damages, including labor costs. This might be assessed by requiring campus work under the supervision of the Student Life Office.

- Community Time: Required community service supervised by the Student Life Office or its designee.

- Residence Hall Restrictions: Changing place of residence, possibly moving off campus. In addition, the student may be prohibited from visiting a particular floor, house, or hall. If removed from the hall, the student will receive no refund for room costs. However, the student would receive a refund for board costs if he/she chooses not to participate in the board plan.

- Social Probation: A probationary period lasting from one term up to the remainder of the student’s enrollment at Wartburg. If the student is found to be responsible for another violation of college policy during this time, further disciplinary action will be taken and may include loss of housing privileges on campus or suspension.

- Disciplinary Probation: A probationary period lasting from one term up to the remainder of the student’s enrollment at Wartburg. This could exclude participating in extracurricular activities of the college for that period of time. This could make the student ineligible for intercollegiate or intramural competition, campus offices, and/or organization membership. If the student is found to be responsible for another violation of college policy during this time, further disciplinary action will be taken and may include suspension.

- Suspension — Suspension from Wartburg College for a period of time.

- Expulsion — Permanent dismissal from the college.

V. NOTIFICATION

The charged student will be notified in writing of the decision. This notification will include the decision, any sanctions with deadlines, and an explanation of the appeal process.

VI. APPEALING A DECISION

In the interests of due process, decisions made through administrative hearings or by the SCB may be appealed to the Campus Appeals Board or through administrative appeal.

Campus Appeals Board (CAB)

CAB shall consist of three faculty members and four students. The faculty members will be appointed by the college president. Student members will be appointed by the Student Senate.

CAB is called together by the Vice President for Student Life or designee, who will serve as the adviser on matters of training, organization, and procedure. The Vice President for Student Life will convene the board on receipt of an appeal. Three members will constitute a quorum. A CAB chair will be elected by the members from among the CAB faculty representatives.

Any CAB member who, in the judgment of a majority of its members, has a conflict of interest in the case shall be temporarily removed from the board.

Individuals serving on the Campus Appeals Board need not be disqualified because they have a superficial knowledge of the background of the case or because they may know the participants. Any CAB member who, in the judgment of a majority of its members, has violated the ethical standards of the conduct system, has failed to complete the duties of a member of the board, or has been involved in a major violation of college policies will be permanently removed from the board.
Temporary vacancies will not be filled. Permanent vacancies will be filled by the same selection process used for selecting original members.

If, in the opinion of CAB, the appeal lacks merit, the board may refuse to accept it. An appeal will be heard based on:

1. Whether the original hearing was conducted in a fair manner;
2. The evidence was substantial enough to warrant a decision against the student(s); or
3. The sanction imposed was in keeping with the seriousness of the violation and prior conduct record of the student(s).

**Administrative Appeal**

An administrative appeal may occur upon the request of the student(s) against whom the complaint is filed, in the event an administrative hearing was held. Administrative appeals will be heard by the Vice President for Student Life or designee. In select cases, the Vice President for Student Life or designee may assign the jurisdiction for the appeal to the Campus Appeals Board, particularly when a conflict of interest may be involved.

Furthermore, an administrative appeal may replace a Campus Appeals Board hearing. This may occur if the board cannot function due to the nature of the college calendar or if the Vice President for Student Life decides the violation warrants immediate action due to confidentiality or due to the severity of the incident.

The charged student or the complainant may file an appeal. The right of appeal does not entitle a student to a full rehearing of the case. A written justification for the appeal must be filed within two days of the written announcement of the decision. The Vice President for Student Life or designee must notify the chairperson of the SCB or the person who conducted the administrative hearing that an appeal has been filed.

The appeal hearing shall be limited to a review of the original incident report or written documentation, decision letters, and written justification of the appeal.

As a point of clarity, CAB may request additional information and recess for a maximum of five class days or until that information is made available, whichever comes first.

CAB may revise or dismiss the originally stated sanctions.

CAB decisions will be reached by a majority vote and are final.

If, in the opinion of the administrative appeal hearing officer, the appeal lacks merit, he/she may refuse to accept it. An appeal will be heard based on whether:

1. The original hearing was conducted in a fair manner;
2. The evidence was substantial enough to warrant a decision against the student(s); or
3. The sanction imposed was in keeping with the seriousness of the violation and prior conduct record of the student(s).

All materials relative to the case will be reviewed — the incident report or written documentation, decision letters, and the written justification of the appeal.

The administrative appeal hearing officer may conduct personal interviews with both the complainant and the charged student(s). The administrative appeal hearing officer may revise or dismiss the originally stated sanctions. Decisions made through administrative appeal are final.

**Sexual Violence Prevention Education and Training**

Wartburg College educates its students and staff regarding the Clery Act reporting requirements and the educational requirements regarding sexual violence.

The College prohibits dating violence, stalking, domestic violence, rape and acquaintance rape, and other types of sexual assault and misconduct. The Student Life staff, including student resident assistants, as well as other mandatory reporters of the College and all responsible staff, as well as new employees receive information regarding these College rules. This information includes primary prevention and awareness information, including how to report and how to seek help. Key staff have been educated as bystander intervention trainers and provide this training about safe and positive interventions to students and employees. The definition of these offenses, the definition of consent, the recognition of signs of abusive behavior, and how to avoid potential attacks is included in the training.

The college uses in-person and online training to ensure easy access to training for all students and employees. Campuswide awareness events are implemented by student groups, with the support of staff, and other events are implemented by staff and/or faculty.

**Missing Person Policy**

When a student who resides on campus is determined to have been missing for at least 24 hours, Campus Security will contact the Waverly Police and an investigation will be initiated. Students living in an on-campus student housing facility have the option to register, through the Residential Life Office, a confidential contact person to be notified in the case that the student is determined to be missing. For students less than 18 years of age and not emancipated, their parent or guardian will be notified. Only the following authorized campus officials may have access to this information: Campus Security officers, Dean of Students, Director of Residential Life,
college counselors, and hall directors. Missing person reports may be submitted to any of the following: Campus Security officers, Dean of Students, Associate Dean of Students, hall directors, and RAs. If no confidential contact person is identified, local law enforcement will be notified. Based on information available, a student may be determined missing when the lapsed time is less than 24 hours.

**Relationship Between Wartburg College and Local Law Enforcement**

Campus Security maintains a highly professional working relationship with the Waverly Police Department and Bremer County Sheriff’s Department. Meetings are held between the leaders of these agencies on a formal and informal basis. Campus Security and local police officers and investigators regularly communicate at the scene of incidents and at other times around the campus. It is important for police officers to be familiar with the Wartburg College campus and its facilities. Wartburg College Campus Security is licensed by the State of Iowa as a private investigative agency.

**Policy Addressing Monitoring Off-Campus Activity**

Wartburg College does not recognize any off-campus student organizations.

**Security Awareness Programs**

During the Student Orientation and Registration Program (SOAR) and New Student Orientation, new students and their parents/guardians receive a security briefing. This presentation includes a summary of the college’s emergency response plan, WartburgAlert emergency notification system, and the general crime situation on campus and in the Waverly area. They are also provided with crime prevention suggestions and informed how to report crimes. Similar information is presented to new employees. Periodically during the year, crime prevention and safety-related information is presented through various student and campus media forums. During an emergency or when time is critical, information is released to the college community via timely warnings or WartburgAlert releases.

**Crime Prevention Programs**

Campus Security offers presentations on the A.L.I.C.E./Run, Hide, Fight training program for active shooter and other violent response tactics. Campus Programming offers bystander intervention training. Campus Security can develop other crime prevention presentations to fit a group’s need.

**Weapons/Firearms Policy**

Firearms (including, but not limited to shotguns, rifles, and handguns), air guns, Air Soft guns, Nerf guns, paintball guns, pellet guns, bows and arrows, ammunition, explosives (including fireworks), incendiary devices, blade weapons (swords, sabers, knives, etc.), and other deadly weapons are prohibited on campus. Replicas of these weapons and toy weapons, including water guns, are also prohibited on campus. Also, any apparatus capable of launching any projectile or substance is prohibited. There are three exceptions to this policy. Law enforcement officers, on campus in the performance of their duties, are permitted to possess firearms. Students who hunt may store firearms and archery equipment in the Campus Security Office for safekeeping and to check in and out when going hunting. Prior coordination is required, as a Campus Security escort is mandatory between the Campus Security Office and the campus boundary when checking in or out weapons. Students and faculty/staff may bring antique or special weapons or replicas of these weapons as part of a class, show, or display. When not being used as such, they must be secured in the Campus Security Office. A Campus Security escort is mandatory in these cases as well.

**ALCOHOL AND OTHER DRUGS POLICY**

**State and Local Government Requirements**

Wartburg College will enforce all state and local government policies concerning the consumption of alcoholic beverages.

The sale of alcoholic beverages is not permitted by any organization, person, or group on the Wartburg campus.

Only students who have attained the legal drinking age of 21 years of age may possess or consume alcoholic beverages by following federal, state, and local laws as well as Wartburg requirements.

**Wartburg College Requirements**

As mature members of the college community, Wartburg students are expected to take responsibility for their behavior and respect the rights of others. Public intoxication and abusive or disruptive behavior will not be tolerated. Clinton Hall and the Centennial Complex are alcohol-free buildings. Under no circumstances is alcohol to be present in these buildings. A student may be charged with an alcohol violation even if the student does not have alcohol in his or her possession at that time. Charges that a student has violated the policy may be filed by students, faculty, staff, or administrators and should be submitted to the Director of Residence Life. Violations will be handled by a college administrator or the Student Conduct System.

Possession or consumption of alcohol is prohibited on all campus property unless:
A. It is by students 21 years of age or older, who may possess and consume alcohol in their residence hall room if that hall is not prohibited from such activity.

B. It is at a college-sanctioned event approved by the President or a Vice President. Alcoholic beverages may be transported to and from rooms only in sealed containers. Kegs of beer or other large quantities or common source containers of alcohol are not allowed on campus.

Organizations that receive budgetary support from the college or organizations that solicit funds for the purpose of college activities are not allowed to use the funds to purchase alcoholic beverages.

Advertising off-campus parties is not allowed on campus. College vehicles may not be used to transport students to or from an off-campus party.

In the event Campus Security officers, Residential Life staff, Waverly police and/or medical staff must intervene with a student in his/her residence hall room in response to a concern of possible excessive alcohol consumption, leading to further concern of personal safety for that student, the student’s parent or legal guardian may be contacted by the responding college or city personnel.

Violation Descriptions

Minor violations

- Possession or presence of empty, full, or partially full alcohol containers in Clinton Hall or Centennial Complex.
- Underage possession or consumption of alcohol.
- Possession of opened container in public/non-designated areas (any age).
- Promotion of events where alcohol is the primary focus.
- Display of alcohol-related materials in windows or area outside residence hall rooms.
- Possession or presence of empty, full, or partially full alcohol containers in underage room or where an underage student is present.
- Participation in transportation of a keg to campus and/or anywhere on campus (any age).
- Transportation of alcohol without any attempt to place alcohol in opaque container (any age).

Significant violations — Student exhibits any of the following behaviors due to alcohol consumption:

- Disorderly conduct.
- Physical violence.
- Verbal disruption or abuse.
- Staggering.
- Public intoxication, vomiting (but coherent and conscious).

Other significant violations include:

- Permitting others (students or guests) to use assigned room to violate the alcohol policy.
- Serving and/or providing alcohol to a person or persons not of legal age.
- Repeated minor violations.
- Use of false identifications while on campus in order to consume alcohol and avoid detection by college staff.
- Manufacturing false identifications for use by others or self.

Severe Violations — Student exhibits any of the following behaviors due to alcohol consumption:

- Vomiting and incoherent.
- Unconscious.
- Needing or receiving medical attention (including treatment by EMTs and/or transportation to hospital or “detox”).
- Loss of bodily functions or memory loss.

Other severe violations include:

- Possession of a keg or other bulk or common-source container.
- Supplying or assisting a minor in any way to obtain alcohol (i.e., hosting a party with minors present).
- Illegal sale of alcohol.
- Repeated minor or significant alcohol violations.

Consequences

The following consequences may be imposed on a student found in violation of the college’s alcohol policy depending upon the severity or frequency of the offense

Minor Violations

- A fine ranging from $50 to $500.
- Student Life Office notified of violation(s).
- Referral to Alcohol 101 workshop.
- Letter of warning to parents, coaches, academic or co-curricular adviser.
- Referral to off-campus alcohol or drug education program.
- Social probation.
- Decrease in housing priority or relocation.
- Participation in an activity to further the participant’s understanding of self and the issues involved and acceptance of the college’s positions and needs.
**Significant or Severe Violations:**

All minor violation consequences and the possibility of suspension.

**Explanation of Typical Sanctions**

- Alcohol 101: A two-hour education workshop facilitated by a counselor in the Pathways Center.
- Off-campus drug and alcohol education: Specific risk-reduction program provided by a licensed chemical dependency professional. The program includes chemical use self-assessment and the development of strategies to stop or decrease the use of alcohol at the student’s expense.
- Fines: Alcohol fines must be paid to the Business Office by the date specified in a student’s sanction letter. Any unpaid fines will be added to the student’s account and may result in further disciplinary hearing.
  - **$150 fine** — In lieu of $100 of the fine, the student may perform 20 hours of community service at a site approved by the Associate Director of Student Life or Assistant Director of Residential Life. The student must pay the remaining $50 fine.
  - **$200 fine** — In lieu of $100 of the fine, the student may perform 20 hours of community service at a site approved by the Associate Director of Student Life or Assistant Director of Residential Life. The student must pay the remaining $100 fine.
  - **$300 fine** — In lieu of $100 of the fine, the student may perform 20 hours of community service at a site approved by the associate director of Student Life or assistant director of Residential Life. The student must pay the remaining $200 fine.

Money collected from students for violation of the college’s alcohol policy will be deposited into the alcohol education program.

**FIRE SAFETY REPORT**

Wartburg College takes fire prevention and safety seriously. Our physical plant ensures our buildings meet or exceed the state fire code. Wartburg College has never experienced a fire fatality and works to ensure there never is one. As shown in the following chart, all residence halls have an audible alarm that sounds when smoke or fire is present. All fires must be reported to a Campus Security officer on duty at (319) 352-9999. Buildings with a fire alarm panel (except for Mendota, Galena, Dubuque, and St. Sebald) are equipped with a wireless notification system that immediately notifies Campus Security of the alarm. Campus Security will immediately respond and investigate the cause of the alarm. If it is determined there is a fire that cannot be extinguished by Campus Security, that office will call 911 to request response by the Waverly Volunteer Fire Department.

Occurants are required to evacuate a building whenever the fire alarm sounds. Leave by the safest exit possible and:

1. Keep low to the floor if smoke is present.
2. Cautiously feel doors before opening. If the door is hot, do not open; take an alternate exit route.
3. Once outside, go to the designated assembly area.
4. Call Campus Security at (319) 352-9999.
5. Report to your RA or area coordinator. Remain in the assembly area until informed by Campus Security, hall director, or fire department personnel that it is safe to return to the building.
6. If you cannot leave the room, seal up the cracks around the door using sheets, pieces of clothing, or whatever is handy. Open a window and hang an object outside to attract someone’s attention. Call 911 and report your location. Place a wet towel or cloth over your head and face. Breathe through the wet towel by taking short breaths through your nose. Cover your body with something that can be easily discarded if it catches fire.
7. Notify Campus Security or the hall director if you are aware of anyone in the building that cannot escape.

**Fire statistics**

Per federal law, Wartburg College is required annually to disclose statistical data on all fires in on-campus student housing facilities. Below are the non-emergency numbers to call to report fires that have already been extinguished in on-campus student housing. These are fires you are unsure whether the Wartburg College Campus Security, Physical Plant, or Residence Life may already be aware of. If you find evidence of a fire or if you hear about such a fire, please contact one of the following:

- Wartburg College Campus Security: (319) 352-8372
- Wartburg College Residential Life: (319) 352-8260
- Wartburg College Physical Plant: (319) 352-8318

When calling, please provide as much information as possible about the location, date, time, and the cause of the fire.

**Future Improvements in Fire Safety**

Throughout 2017-18, the College updated the fire alarm system in Centennial Complex.
Fire Prevention & Public Education

Education and preventative measures are the best tools for fire prevention.

1. Tampering with emergency equipment, such as fire extinguishers, fire alarms, smoke detectors, or emergency exit signs, is prohibited by state law and college policy.

2. In the event of a fire, Wartburg College expects all campus community members will evacuate by the nearest exit, closing doors and activating the fire alarm system, if it is not already activated, as they leave. Once safely outside, it is appropriate to call 911 and Campus Security. Students and/or staff are informed where to relocate if circumstances warrant at the time of the alarm. In the event a fire alarm sounds, college policy is that all occupants must evacuate from the building, closing doors as they leave. No training is provided to students or employees in firefighting or suppression activity as this is inherently dangerous and each community member’s only duty is to exit safely and quickly, shutting doors along the exit path. At no time should the closing of doors or activation of the alarm delay the exit of the building.

3. RAs are trained in the use of fire extinguishers. They are told to use fire extinguishers only when they can do so without jeopardizing their safety. Their primary responsibility is to evacuate safely and be able to account for their residents.

4. Scheduled-but-unannounced fire drills will be conducted at least four times a year. Participation is mandatory if present in the building.

5. Open burning, including candles, is not permitted on campus.

6. Hot plates, broilers, space heaters, ovens, and kerosene heaters are not allowed in rooms due to possible fire potential.

7. Electrical fires can be prevented by using UL-approved appliances, not overloading circuits, and not using multiple-head electrical plugs. The use of light extension cords should be kept to a minimum. Electrical cords cannot run under rugs or carpets, run between room furniture, or be hung from ceilings.

8. Refrigerators are not to exceed 5 cubic feet or 1.5 amps. Reasonable standards of sanitation must be maintained. Refrigerators must be plugged directly into the wall outlet or into a UL-approved three-prong grounded extension cord containing its own fuse. The refrigerator must be kept in open view and not placed in a closet or other enclosure that restricts adequate ventilation.

9. One microwave oven, not to exceed 1.5 cubic foot capacity or draw more than 750 watts, is permitted per student room. Microwaves must be plugged directly into the wall outlet or into a UL-approved, three-prong grounded extension cord containing its own fuse.

10. Popcorn poppers, coffee pots, and hot pots are allowed. In general, any appliance with an open, exposed heating element and without an automatic shut-off is not to be used in the residence halls.

11. Smoking is prohibited throughout campus (Iowa Smoke Free Air Act). Smoking is permitted only on public streets and sidewalks adjacent to public streets on city easement.

12. All types of explosives, to include explosive ingredients with potential to create an explosive, are prohibited on campus. Explosives include, but are not limited to, firecrackers and fireworks, gasoline, and any combustible material. Grills are not allowed inside residence halls. Compressed tanks that contain fuel for gas grills may not be stored on campus. Students found possessing or using any type of explosive will be fined $50.

13. Campus Security is available to conduct fire prevention classes and fire extinguisher familiarization training. Campus Security can also coordinate for training by the fire department or other public safety organizations.

14. Area Coordinators will maintain a current list of residents with disabilities that could hinder or prevent their evacuation. This list will be posted by the building’s fire alarm panel so Campus Security will know rooms to check.

15. During extended breaks (Christmas, Winter, Tour Week) Residential Life and Campus Security conduct inspections of residence hall rooms to ensure life safety systems, fire extinguishers, smoke detectors, electrical cords, and appliances are safe and available as applicable.
# Wartburg College Residence Halls 2018
## Fire Equipment & Systems

<table>
<thead>
<tr>
<th>Residence Hall</th>
<th>Fire Alarm</th>
<th>Sprinkler</th>
<th>Smoke Detector</th>
<th>Heat Detection</th>
<th>Extinguishers</th>
<th>Evacuation Plan</th>
<th>Drills</th>
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<td>✓</td>
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<td>✓</td>
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<td>✓</td>
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<td>✓</td>
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<tr>
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<td>✓</td>
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<td>✓</td>
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<tr>
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<td>✓</td>
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</tbody>
</table>

Notes:
1. Notifier or Simplex - All alarms announced to Campus Security via Instant Assistant
2. Includes Cornils, Chellevoit, Ernst, and Schmidt houses
3. Includes Vollmer, Centennial, and Hebron halls
4. Includes Mendota, Galena, Dubuque, St. Sebald, and Saginaw Haus
5. Includes Swensen, Engelbrecht, Ottersburg, and Wiedenaenders houses
6. Only Saginaw is sprinkler and alarm equipped. Others only equipped with smoke detectors
### Wartburg College Residence Halls
#### Fire Safety Statistics 2017, 2018, 2019

<table>
<thead>
<tr>
<th>Residence Hall</th>
<th>Total Fires</th>
<th>Fire #</th>
<th>Date</th>
<th>Time</th>
<th>Location</th>
<th>Cause</th>
<th>Injuries</th>
<th>Deaths</th>
<th>Value</th>
<th>Report #</th>
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</tbody>
</table>

**Notes**

1. Number of injuries requiring treatment in a medical facility
2. Number of deaths related to a fire
3. Value of property damage caused by fire
4. Includes Cornils (916 5th Ave), Chellevold (914 5th Ave NW), Ernst (912 5th Ave NW), and Schmidt (910 5th Ave NW) houses
5. Includes Vollmer (515 8th St NW), Centennial (915 5th Ave NW), and Hebron (917 5th Ave NW) halls
6. Includes Mendota, Galena, Dubuque, St. Sebald, and Saginaw houses
7. Includes Swensen (994 5th Ave NW), Engelbrecht (992 5th Ave NW), Ottersburg (996 5th Ave NW), and Wiederaenders (990 5th Ave NW) houses
8. Only Saginaw is sprinkler and alarm equipped. Others only equipped with smoke detectors

One reportable fire in 2017.
No reportable fires in 2018.
No reportable fires in 2019.
Fire Log
Campus Security maintains a log — consolidated with reports of crimes — of all reported fires occurring on campus. This log is available for public inspection in the Campus Security office at any time. Logs are available immediately for the previous 60 days and arrangements may be made to review reports occurring after 60 days. Fire logs will contain, at minimum: nature of the fire, date occurred, time of day occurred, and general location.

Fire Drills
Unannounced-but-scheduled fire drills are conducted annually in all residence halls. In 2017, drills were conducted Feb. 27-28, April 24-28, and on Aug. 1. In 2018, drills were conducted on Sept. 6. In 2019, drills were on Sept. 5.

Student Housing Evacuation
When it is deemed necessary to evacuate a residence hall, the fire alarm system will be activated, either automatically in case of a fire or manually for other reasons. Evacuation is mandatory and failure to evacuate violates the Student Code. Each building has a designated assembly area. Hall directors and RAs will monitor evacuation to ensure the building is safely evacuated; Campus Security and firefighters, when available, will ensure the building is vacant.

Fire Prevention Improvements
As buildings are constructed or modified, effective fire detection and prevention systems will be installed and/or updated as necessary.